



Kern Community College District
Administrative Procedure
Chapter 3 – Business Services

AP 3310 Records Retention and Destruction

Classification Of Records

Class 1—Permanent Records

Definition according to Title 5, Section 59023

The original of each of the records listed below, or one exact copy thereof when the original is required by law to be filed with another agency, is a Class 1—Permanent Record and shall be retained indefinitely, unless microfilmed in accordance with California Code of Regulations.

BOARD OF TRUSTEES/CHANCELLOR

Table with 2 columns: Report and Responsibility. Rows include District Organization/Reorganization Documents, Elections Records, Organization Documents, Minutes of all Board of Trustees Meetings, Property Records, Annual Accounts Receivable Report, Appraisals of Land and Buildings, Auditors Report of District and Foundations, Bid Conditions (Advertised), District Budget (Official), Current Liability Report, Commercial Warrant Report, and Deferred Maintenance Five-Year Plan.

Earnings Reports (Quarterly)	District Operations
Employee's Withholding Allowance Certificate	District Operations
Enterprise and Student Annual Fund Reports	District Operations
Facilities Inventory	District Operations
Federal Withholding Tax Reports	District Operations
Financial Annual Reports of all Funds	District Operations
Fixed Assets (detail or summary records)	District Operations
General Ledger and all Fund Ledgers	District Operations
Lease Agreements	District Operations
OASDI Reports	District Operations
Payroll Adjustment Orders	District Operations
Payroll Listing for CalPERS/CalSTRS	District Operations
Payroll Summary (Annual)	District Operations
Payroll Warrants Registers	District Operations
Payroll and Salary Records	District Operations
Payroll Officer Records	District Operations
Quarterly Wage and Withholding Report	District Operations
Tax Records	District Operations
Time Records (accrued vacation, sick leave, etc.)	District Operations
W-2 Wage and Tax Statement	District Operations

Human Resources

Report	Responsibility
<u>Official Personnel File (Regular Staff)</u> <ul style="list-style-type: none"> ➤ Original Application ➤ Letters of Recommendation ➤ Transcripts ➤ Verification of Experience ➤ Employment Recruitment Survey ➤ Fingerprint History ➤ Original Interview Notes ➤ Notice of Employment ➤ Personnel Actions ➤ Requests for Board Action ➤ Employment Contracts ➤ Evaluations ➤ Leave History ➤ Salary Progression 	District Operations
Benefit Enrollment Forms (health, dental, vision, tax shelter, life insurance, flex plan, optional insurances)	District Operations

Board Actions	District Operations
Collective Bargaining Agreements	District Operations
Employee Complaints	District Operations
Employee Grievances Files—Inactive	District Operations
Employee Permanent Records Cards	District Operations
Faculty Obligation Report (1725)	District Operations
Pay Authorizations	District Operations
Staff Development Report	District Operations
Staff Diversity Report	District Operations
Student Complaints Against Personnel	District Operations
Workers' Compensation Accident or Injury Reports	District Operations
Workers' Compensation Quarterly Payroll Report	District Operations
EDD Benefit Audit—DE-1296B	District Operations
EDD Quarterly Contribution Return and Report of School Employees Wages Under the Unemployment Insurance Code (DE-9423)	District Operations
Employee Income Protection Insurance Records	District Operations
Employee Income Protection Insurance Records	District Operations
Safety Inspection Reports	District Operations
Department of Transportation Random Drug Testing Records	District Operations

Student

Report	Responsibility
Student Permanent Record (before 1987)	College Admissions and Records Office
<p>The following Student Data Elements Beginning 1987:</p> <ul style="list-style-type: none"> • Name of student • Date of birth • Student identifier (i.e., Social Security number) • Entering and leaving dates for each semester including summer session • Courses taken during each semester including summer session • Grades and credits given during each semester including summer session 	District Operations

<ul style="list-style-type: none"> • Grades and credits accepted from other institutions • Degrees and certificates earned and dates awarded • Cumulative grade point averages • Average Daily Attendance (ADA)/Full-time Equivalent Students (FTES) including Period 1, Period 2, and Final reports (CCAF-320) • FTES attendance detail reports* 	
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* *Changed to Class 3 after microfilming*

Report	Responsibility
Faculty Contact Hours Adjustment (CCAF-320F)	District Operations
Apprenticeship Attendance Report (CCAF-321)	District Operations
Estimated Enrollment Fee Revenue	District Operations
Board of Governors Fee Waiver Program (BFAP 1-C)	District Operations
Request for Student Formal Hearings	College
Student Discipline Records	College

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 April 21, 1994
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Revised October 22, 2002

Class 2--Optional Records

Definition according to Title 5 Section 59024: Any record worthy of further preservation but not classified as Class 1--Permanent may be classified as Class 2-Optional and shall then be retained until reclassified as Class 3--Disposable.

Human Resources

Report	Responsibility
Workers' Compensation Log and Summary of Occupational Injuries and Illnesses—CAL/OSHA 200 [five (5) years]	District Operations

Independent Contractor Checklist [three (3) years]	District Operations
Billings—Optional Insurances [two (2) years]	District Operations
Billings—SISC (health, dental, life insurance, behavioral health, vision) [two (2) years]	District Operations
Billings—Tax Shelters [two (2) years]	District Operations
LSC Warrant Requests (benefit billings) [three (3) years]	District Operations

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Class 3--Disposable Records

Title 5, Section 59026 states that generally, a Class 3--Disposable record, unless otherwise specified, should be destroyed during the third (3rd) College year after the College year in which it originated. Section 59026 further states that Federal programs, including various student aid programs, may require longer retention. These longer retention periods are noted below.

Finance

Report	Responsibility
Accounts Payable Reconciliation [four (4) years]	District Operations
Accounts Receivable Reconciliation [four (4)]	District Operations
Air Travel Reservations [four (4) years]	District Operations
Applications of Special Project funds [six (6) years]	District Operations/College Business Office
Bank Deposit Slips [seven (7) years]	District Operations/College Business Office
Bank Reconciliation and Cancelled Checks [four (4) years]	District Operations
Bank Statements [seven (7) years]	District Operations
Bids [four (4) years]	District Operations
Budget Worksheet [four (4) years]	District Operations

Cancelled Checks and Bank Reconciliation Student Body Records [seven (7) years]	District Operations
Cash Collection Reports/Student Body Records [four (4) years]	District Operations
Contracts [five (5) years]	District Operations
<i>Enterprise Fund Detail Records</i>	District Operations/College Business Office
Investment Records [four (4) years]	District Operations
Invoices, LSC (outgoing) [four (4) years]	District Operations
Invoices, Vendor's [four (4) years]	District Operations
Journals (all funds) [four (4) years]	District Operations
Membership Data forms (CalPERS/CalSTRS)	District Operations
Other Financial Detail Records [five (5) years]	District Operations
Petty Cash Payments Memo [four (4) years]	District Operations/College Business Office
Purchase Orders (computer records) [four (4) years]	District Operations
Timesheets/Cards [four (4) years]	District Operations
Vendor's Files [five (5) years]	District Operations/College Business Office
Warrants/Checks [four (4) years]	District Operations/College Business Office
Employment Recruitment Records [four (4) years]	District Operations
Employee Eligibility Verification (I-9) [three (3) years]	District Operations/College Human Resources Office
Employee Pre-Placement Drug Screens	District Operations
Employee Pre-Placement Physicals	District Operations
Recruitment Records (Applicant Pools) [three (3) years]	District Operations/College Human Resources Office
Report of New Employees (DE 34) [four (4) years]	District Operations
Report of Independent Contractors (DE 542) [four (4) years]	District Operations
Deceased/Terminated Retiree Files	District Operations
HCFA Data Match Project (MSPC-1)	District Operations

Student

Report	Responsibility
Permanent class record (roll sheet)	College
Grade Change form	College
Veterans Certification form [five (5) years]	College
Athletic Eligibility form [five (5) years]	College
Foreign student forms/documents [five (5) years]	College
Financial Aid Forms [five (5) years]	College

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Class 4--Disposable Records (After the Current Semester)**Student**

Report	Responsibility
Credit/No Credit Petition and Delete Grade Petition (student)	College
Petition to Audit	College
College Level Exam Program (CLEP) form	College
Add/Drop Course form	College
Advanced Placement form	College
Concurrent Enrollment form	College
Petition for Readmission	College
Posted Transcript	College
Residence classification support documentation	College
Petition for Graduation	College

Request for Transcript form	College
Refund Request form	College
Credit by Examination form	College
Diplomas and certificates [one (1) year past date of award]	College
Assignment of Incomplete ("I") Grade form [one (1) year]	College
Student Application form	College
Student Update form	College
General Person Update form	College
Verification forms (all)	College
Social Security Number (SSN) Changes	College
Subpoena Requests	College

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Classification of Records

Continuing Records

According to Title 5, Section 59022, records of a continuing nature, i.e., **active** and **useful** for administrative, legal, fiscal, or other purposes over a period of years, shall not be classified until such usefulness has ceased. [An example of this is the **Student Education Plan**, which is a continuing record as long as it is active, then it becomes a Class 4—Disposable Record (after the current semester).]

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